

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Dickey et al Confirmation No.: Not Assigned
Appl No.: Not Assigned Group Art Unit: Not Assigned
Filed: September 30, 2003 Examiner: Not Assigned
For: EXPRESSION OF BIOLOGICALLY ACTIVE
POLYPEPTIDES IN DUCKWEED

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

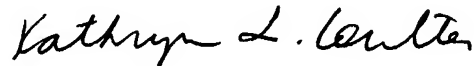
**INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Attached is a list of documents on form PTO-1449.

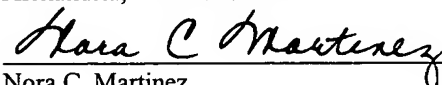
It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Any foreign patents or non-patent literature documents items are attached, except those that were supplied in, or cited by the Office during prosecution of, parent Application No. 09/915,873 filed July 26, 2001. Since the benefit of this application was claimed under 35 U.S.C. 120, no copies need to be furnished in accordance with 37 C.F.R. 1.98(d); however, copies will be furnished on request.

Respectfully submitted,



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Alston & Bird LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Raleigh Office (919) 862-2200 Fax Raleigh Office (919) 862-2260	CERTIFICATE OF EXPRESS MAILING "Express Mail" mailing label number EV185285357US Date of Deposit: September 30, 2003 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450  Nora C. Martinez
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Substitute for form 1449/PTO (Revised 04/2003) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known		
				Application Number	Not Assigned	
				Filing Date	September 30, 2003	
				First Named Inventor	Dickey et al.	
				Group Art Unit	Not Assigned	
				Examiner Name	Not Assigned	
Sheet	1	of	2	Attorney Docket Number		040989/267934(9280-12A)

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	1	US-4,956,282	09-11-1990	Goodman et al.	
	2	US-5,629,175	05-13-1997	Goodman et al.	
	3	US-5,850,032	12-15-1998	Wann	
	4	US-5,994,628	11-30-1999	Rodriguez	
	5	US-6,020,169	02-01-2000	Lee et al.	
	6	US-6,040,498	03-21-2000	Stomp et al.	
	7	US-6,066,781	05-23-2000	Sutliff et al.	
	8	US-6,096,546	08-01-2000	Raskin	
	9	US-6,096,547	08-01-2000	Goodman et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
	10	EP 0 141 484 A2	05-15-1985	BIOGEN N.V.	Whole Doc.	
	11	WO 94/21796 A2	09-29-1994	PIONEER HI-BRED INTERNATIONAL, INC.	Whole Doc.	
	12	WO 98/36085 A1	08-20-1998	APPLIED PHYTOLOGICS, INC.	Whole Doc.	
	13	WO 99/07210 A1	02-18-1999	NORTH CAROLINA STATE UNIVERSITY	Whole Doc.	
	14	WO 99/19497 A1	04-22-1999	YEDA RESEARCHG AND DEVELOPMENT CO. LTD.	Page 4; Claims 1- 01; Examples 12- 15, Page 9	
	15	WO 99/47678 A2	09-23-1999	GENEMEDICINE, INC.	Whole Doc.	

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet	2	of	2	Attorney Docket Number	040989/267934(9280-12A)

OTHER DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
	16	BUZBY, J. S. et al., "A Light-Regulated DNA-Binding Activity Interacts with a Conserved Region of a <i>Lemna gibba rbcS</i> Promoter," <i>The Plant Cell</i> , August 1990, pp. 805-814, Vol. 2	
	17	GASDASKA, J.R. et al., "Advantages of Therapeutic Protein Production in the Aquatic Plant <i>Lemna</i> ," <i>BioProcessing Journal</i> , March/April 2003, Vol. 2, No. 2.	
	18	MURRAY, E. E. et al., "Codon Usage in Plant Genes," <i>Nucleic Acids Research</i> , January 25, 1989, pp. 477-498, Vol. 17, No. 2	

RTA01/2143453v1

Examiner Signature		Date Considered	
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